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PATENT  
Attorney Docket No. CP0005US

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Assistant Commissioner for Patents  
Washington, D.C. 20231

On March 29, 2001  
By Scott Hewett  
SCOTT HEWETT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re Application of: HUNG et al.

Application No.: 09/736,731

Filed: 12/11/2000

For: METHOD AND APPARATUS FOR  
SCANNING ELECTRONIC BARCODES

Examiner: unassigned

Art Unit: unassigned

LETTER TO THE OFFICIAL  
DRAFTSPERSON

Box MISSING PARTS  
The Assistant Commissioner for Patents  
Washington, D.C. 20231

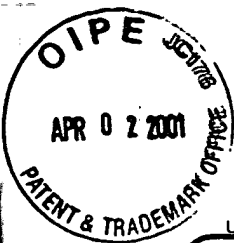
Sir:

Enclosed are six size A4 sheets of formal drawings to be entered in the above-referenced U.S. patent application. Each of the sheets of formal drawings include the indicia suggested in 37 CFR 1.84(c) on the backside of the drawing.

Respectfully submitted,

Scott Hewett  
Scott Hewett  
Reg. No. 41, 836

Scott Hewett  
Patent Attorney  
400 West Third Street, No. 223  
Santa Rosa, CA 95401  
Tel: (707) 591-0789  
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#3

PTO/SB/17 (09-00)  
Approved for use through 10/31/2002. OMB 0651-0032  
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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 65.00)

## Complete if Known

Application Number 09/736,731  
Filing Date 12/11/2000  
First Named Inventor HUNG  
Examiner Name  
Group Art Unit  
Attorney Docket No. CP0005US

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-0891  
Deposit Account Name Scott W. Hewett

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Code (\$)	Small Entity Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$)

### 2. EXTRA CLAIM FEES

Extra Claims Fee from below Fee Paid  
Total Claims  -20\*\* =  X  =   
Independent Claims  -3\*\* =  X  =   
Multiple Dependent  =

Large Entity Code (\$)	Small Entity Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Code (\$)	Small Entity Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	65.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 65.00)

## SUBMITTED BY

Name (Print/Type)	Scott W. Hewett	Registration No. (Attorney/Agent)	41,836	Telephone	707-591-0789
Signature		Date	3/27/2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

M - F

Section 1/3

#3

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Docket No.

CP0005US

Applicant(s):

Serial No.

09736,731

Filing Date

12/11/2000

Examiner

unassigned

Group Art Unit

on:

**METHOD AND APPARATUS FOR SCANNING ELECTRONIC BARCODES**

I hereby certify that this Notice to File Missing Parts

(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

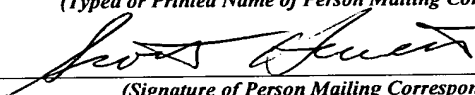
Assistant Commissioner for Patents, Washington, D.C. 20231 on

March 29, 2001

(Date)

Scott W. Hewett

(Typed or Printed Name of Person Mailing Correspondence)



(Signature of Person Mailing Correspondence)

**Note: Each paper must have its own certificate of mailing.**

43

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Docket No.

CP0005US

Applicant(s):

Serial No.

09 236,731

Filing Date

12/11/2000

Examiner

unassigned

Group Art Unit

APR 02 2001

PATENT & TRADEMARK OFFICE

Invention:

**METHOD AND APPARATUS FOR SCANNING ELECTRONIC BARCODES**

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March 29, 2001

(Date)

Scott W. Hewett

(Typed or Printed Name of Person Mailing Correspondence)



(Signature of Person Mailing Correspondence)

**Note: Each paper must have its own certificate of mailing.**

42

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Docket No.

CP0005US

Applicant(s):

Serial No.

Filing Date

Examiner

Group Art Unit

APR 02 2001 09:36,731

12/11/2000

unassigned

Invention:

**METHOD AND APPARATUS FOR SCANNING ELECTRONIC BARCODES**

I hereby certify that this copy of Declaration by Patrick Hung

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is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

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March 29, 2001

(Date)

Scott W. Hewett

(Typed or Printed Name of Person Mailing Correspondence)



(Signature of Person Mailing Correspondence)

**Note: Each paper must have its own certificate of mailing.**

#3

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Docket No.

CP0005US

Applicant(s):

Serial No.

09/036,731

Filing Date

12/11/2000

Examiner

unassigned

Group Art Unit

**METHOD AND APPARATUS FOR SCANNING ELECTRONIC BARCODES**

I hereby certify that this copy of Declaration by James Dunphy

(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

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March 29, 2001

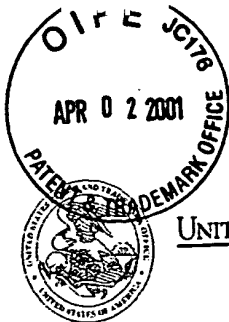
(Date)

Scott W. Hewett

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

**Note: Each paper must have its own certificate of mailing.**



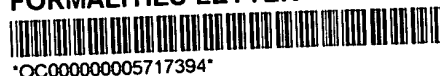
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/736,731	12/11/2000	Patrick Sui-Ying Hung	CP0005US

CONFIRMATION NO. 8072

## FORMALITIES LETTER



\*OC00000005717394\*

22849  
SCOTT W HEWETT  
400 WEST THIRD STREET  
#223  
SANTA ROSA, CA 95401

Date Mailed: 01/30/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/04/2001 FFAWEIA 00000049 500891 09736731  
01 FC:205 65.00 CH

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice MUST be returned with the reply.*

*subhd. mte*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE